



## UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 04 2002

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,349	07/31/2001	John G. Babish	T8702.NP

 20551  
 THORPE NORTH WESTERN  
 8180 SOUTH 700 EAST, SUITE 200  
 P.O. BOX 1219  
 SANDY, UT 84070

CONFIRMATION NO. 2751

## FORMALITIES LETTER



\*OC00000006534878\*

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$718.
  - \$198 for 22 total claims over 20.
  - \$520 for 13 independent claims over 3.
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1138.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 01/08/2002 AG01TOM 00000095 03919349  
 01 FC:201 370.00 00  
 02 FC:205 65.00 00  
 03 FC:203 198.00 00  
 04 FC:202 546.00 00



SECTOR  
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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John C. Babish, et al.  
SERIAL NO.: 09/919,349 ✓  
FILED: July 31, 2001  
FOR: COMBINATIONS OF  
SESQUITERPENE LACTONES  
AND DITEPENE TRIPOXIDE  
LACTONES FOR  
SYNERGISTIC INHIBITION OF  
CYCLOOXYGENASE-2  
ART UNIT: 1614  
DOCKET NO.: T8702.NP

**CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. 1.8**

DATE OF DEPOSIT: 11-12-01

I hereby certify that this paper or fee is being deposited with the United States Postal Service under 37 C.F.R. § 1.8 on the date indicated above and is addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

Karen Jacobson

Dated 11-12-01

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NON-PROVISIONAL PATENT APPLICATION Filing Date Granted**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed September 10, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X An Assignment from the inventors to Ashni Naturaceuticals, Inc.;
- X Applicant claims Small Entity Status under 37 CFR 1.9((f) and 1.27(c);
- X A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- X Declaration and Petition;
- X Power of Attorney;
- Prior Art Statement, Form PTO-1449, and copies of cited prior art;

X Check in the amount of \$1,219.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$710
TOT. CLAIMS	42 - 20 =	22	x 9 =	\$198	x 18 =	\$
IND. CLAIMS	16 - 03 =	13	x 42 =	\$546	x 80 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE				\$40		
SURCHARGE FEE				\$65		
			TOTAL	\$1,219.00	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 12<sup>th</sup> day of Nov., 2001.

Respectfully submitted,



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Attorney for Applicant  
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MWW/WC:kj  
Enc.